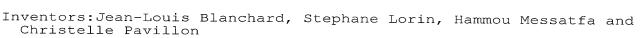
STANT COMMISSIONER FOR PATENTS PATENT APPLICATION Shington, D.C. 20231

CASE DOCKET NO. <u>FR919990071US1</u>
Date: <u>May 23, 2001</u>

under Rule 1.53(b)

Transmitted herewith for filing is the Patent Application/of:

ASSIGNEE NAME: International Business Machines Corporation ASSIGNEE RESIDENCE: Armonk, New York



For:

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SYSTEM AND METHOD FOR STOCK OPTIONS MARKET MANAGEMENT

Enclosed are:

- X 6(six) Sheets of Formal Drawings.
- \_\_\_\_ An assignment of the invention to International Business Machines Corporation, Armonk, New York 10504.
- $\underline{X}$  A certified copy of a European Patent Application Serial No:  $\underline{00480047.0}$  .
- Unsigned Declaration and Power of Attorney is attached to the application.
  - \_\_\_ Associate Power of Attorney.
    - Information Disclosure Statement with form PTO-1449 with references attached.

The filing fee has been calculated as shown below:

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|     | TOTAL | CLAIMS   | 14                                      | -    | 20  | =   |        | 0      |    |
|     | INDEP | CLAIMS   | 3                                       | _    | 3 = |     |        | 0      |    |
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- $\underline{x}$  Please charge my Deposit Account No.  $\underline{09-0468}$  in the amount of  $\$\underline{710.00}$ .
- $\underline{x}$  The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.  $\underline{09-0468}$ . A duplicate copy of this sheet is enclosed.
  - $\underline{x}$  Any additional filing fees required under 37 CFR 1.16.
  - x Any patent application processing fees under 35 CFR 1.17.

Respectfully submitted,

Gail H. Zarick

Registration No.: 43,303

Tel. (914) 945-3701

Express Mail Label:EL761869678US Date of Deposit: May 23, 2001

IBM CORPORATION
INTELLECTUAL PROPERTY LAW DEPT.
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Blanchard et al.

Docket No.: FR919990071US1

Serial No.: To be assigned

Group No.: Examiner:

Filed: Herewith

For: System and Method for Stock Options Market Management

Assistant Commissioner for Patents Washington, DC 20231

## **EXPRESS MAIL CERTIFICATE**

Express Mail Label Number EL761869678US Date of Deposit May 23, 2001

I hereby certify that attached paper or fee:

Patent Application Transmittal Fee Sheet under rule 1.53(b) -Original and copy Patent Application Declaration and Power of Attorney for Patent Application, unsigned 6 (six) Sheets Formal Drawings Certified Copy of European Patent Application No. 00480047.0 Return Post Card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

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